U.S. COMMODITY FUTURES TRADING COMMISSION



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OFFICE OF PROCEEDINGS PROCEEDINGS CLERK

Office of Proceedings

LELAND KUZMAN, Complainant,

v.

CFTC Docket No. 07-R005

MAN FINANCIAL, INCORPORATED, Respondent.

*

FINAL DECISION

The parties have elected the voluntary decisional procedure. Under the voluntary decisional procedure, the parties waive the opportunity for an oral hearing, and the parties waive certain rights, including the right to receive a written statement of the findings of fact upon which the final decision is based and the right to appeal this final decision to the Commission and to the federal courts.

After carefully reviewing the parties' submissions, it is hereby concluded that the weight of the evidence supports the conclusion that respondent Man Financial, Incorporated mishandled complainant's orders in violation of Section 4d(a) of the Commodity Exchange Act, and CFTC rule 166.3, and that these violations proximately caused \$9,150 in damages. Accordingly, Man Financial, Incorporated is ORDERED to pay to Leland Kuzman reparations of \$9,150, plus \$50 in costs for the filing fee.

Dated October 5, 2007.

Philip V. McGuire Judgment Officer

¹ This conclusion shall not be deemed a finding of the Commission for the purposes of Section 8a of the Commodity Exchange Act. CFTC rule 12.106(b)(3).